



Welcome to Avant-Garde Trading Securities!

Please use this document package to open a **Trust Account**. Once completed, all documents can be sent to [agt@tradeagt.com](mailto:agt@tradeagt.com) or mailed to the address detailed below. Please keep in mind that the Stock and Option exchanges consider certain accounts as Professional Users. Accounts for Corporations (S or C), LLC's, LLP's, Partnerships, and **Trust Accounts where the Trustee is an outside party like an advisor or trust company are considered Professional Users**. As a result, the fees for market data from the exchanges are considerably higher than individual users and are passed on to those accounts. The average monthly fee for market data for Professional Users is around \$150.00. Those market data fees will be passed through to accounts that qualify as Professionals. If you have any questions, feel free to call us at 312-858-5748.

- New Account Application: Please select the appropriate personal or business trust application
  - [Personal/Family Trust Application \(click here\)](#) (no fees)
  - [Business Trust Application \(click here\)](#) (professional fees will apply)
- [Trust Agreement \(click here\)](#)
- [Option Agreement \(click here\)](#) Required if you will be trading options within the account.
- [Margin Account Agreement \(click here\)](#) Required for Level 3+ trading (i.e. equity spreads).
- [Letter of Direction \(click here\)](#) Required If AGTS will be executing trade signals on your behalf.
- [Special Acknowledgement \(click here\)](#)
  - Receipt of Options Disclosure Document
  - Informed Consent to Electronic Delivery of Account-Related Document Agreement
  - Account Agreement Terms and Conditions
- [W-9 - Taxpayer Identification Number and Certification \(click here\)](#)
  - One for the Trust with the applicable Tax ID for the entity
  - One per Trustee
- [Entity Due Diligence Questionnaire \(click here\)](#) (for business trusts only)
- Please provide a clear copy of your **driver's license, State-Issued ID Card, Passport, or Military ID for each trustee**.
  - A government issued ID is required to verify date of birth (DOB) and current address as stated on the Avant Garde Trading Securities account application. **If you are supplying a Driver's License that does not reflect your current residence or a passport please include a copy of one of the following: a gas or electric bill, mortgage statement, or rental agreement reflecting the current physical address, not a PO Box.** If your ID reflects a PO Box a please include a gas or electric bill, mortgage statement, or rental agreement showing the physical address as well as the ID.

Please provide copies of the following from your Trust:

- The first page or two documenting the name, the date of the Trust, and trustee(s)
- The pages outlining the Investment Powers of the Trust (i.e. stock, options, futures, margin, etc....)
- The signature page(s) of the Trust which documents all trustees

If you are not a resident of the US and wish to open an account, we will also need the following documents:

- [W-8BEN Instructions \(click here\)](#) (must be submitted for the entity and all trustees)
  - [W-8BEN-E \(click here\)](#) for the entity
  - [W-8BEN \(click here\)](#) for each trustee
- Clear copy of a valid passport **and** a driver's license per trustee

If you have any questions with the paperwork, please contact our team at 312-858-5748 or via email [agt@tradeagt.com](mailto:agt@tradeagt.com). All documents can be scanned & emailed to [agt@tradeagt.com](mailto:agt@tradeagt.com) or fax/text clear images to 312-858-5748.

Regards,  
Avant Garde Trading

**Avant-Garde Trading Securities, Member [FINRA](#) and [SIPC](#)**

Corporate Office:

30 S. Wacker Drive, Suite 2840

Chicago, IL 60606

Phone/Fax: 312-858-5748

