

Form CRS – Client Relationship Summary

Avant Garde Trading Securities (AGTS)

Date: 12/10/2025

Introduction

Prosperum Securities, LLC dba Avant-Garde Trading Securities (AGTS) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). AGTS was approved by FINRA in 2018 as a member and executed its first trade in 2022.

We are required to share this summary to help you understand the services AGTS provides, allowing you to make more informed decisions. Please do not hesitate to call us at 312-858-5748 with any questions. Free and simple tools are available to research firms and financial professionals at the following sites:

Free and simple tools are available to research firms and financial professionals at <https://Investor.gov/CRS> & <https://brokercheck.finra.org/>

What investment services and advice can you provide me?

We offer **brokerage services** to retail investors, including access to trading in:

- **Equities**
- **Options**

AGTS does not provide investment advice, asset allocation guidance, nor monitors customer account performance to evaluate said performance and investments are consistent with the customer's investment objectives, risk tolerance, and goals.

Nature of Our Services

- We provide **self-directed trading** and **order-execution services**

We may provide **general educational materials or tools**, but these do not constitute personalized recommendations unless explicitly stated.

Conversation Starter

- *“What is the difference between a brokerage account and an advisory account?”*
- *“Do you monitor my account or make decisions for me?”*
- *“What licenses or experience do your financial professionals have?”*

What fees will I pay?

You will pay fees and charges associated with your brokerage account. These fees apply **whether you make or lose money**.

Please go to this link to see our fees. <https://www.tradeagt.com/fees> You can also call us to discuss fees that could apply by calling 312-858-5748.

Conversation Starter

- *“Help me understand how these fees impact my account over time.”*

What are your legal obligations to me? How else does your firm make money? We do provide guidance on execution of specific securities and in that capacity when we provide you with a guidance as your broker-dealer, we must act in your **best interest** and **not put our interests ahead of yours**. The firm earns revenue from customer trading activity, interest earned from Margin Loans and uninvested Cash in brokerage accounts. The firm also receives payment for order flow, PFOF, from Apex Clearing Corporation where it routes 100% of its orders.

AGTS Professionals are: All of our Representatives hold at least the Series 7 and 63 Registrations with the FINRA.

Examples of Potential Conflicts

- **Payment for Order Flow.** For more information on this please read our Rule 606 disclosures on order routing or by contacting us at 312-858-5748.
- **Interest from Margin Loans and Uninvested Cash.** For more information on these items please call us at 312-858-5748.

Conversation Starter

“How might your conflicts of interest affect me, and how will you address them?”

“Given my financial situation, should I choose a brokerage service? Why or Why not?”

Do you or your financial professionals have legal or disciplinary history?

- **Yes**

Thomas Smith - <https://brokercheck.finra.org/individual/summary/2794221>

Mark Grbesa - <https://brokercheck.finra.org/individual/summary/3013127>

Additional Information For additional information about our brokerage services or to request an updated copy of this Form CRS, you may contact us at:

- **Website:** avantgardetrading.com
- **Email:** info@tradeagt.com
- **Phone:** 312-858-5748

Conversation Starters

- *“Who is my primary contact?”*
- *“Is this person a registered representative of a broker-dealer?”*
- *“Who can I contact if I have concerns about my account or service?”*